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Our Case No. 09792909-5843

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
	)	
Shinichiro Tamura	)	
	)	Examiner: To Be Assigned
Serial No.: To Be Assigned	)	
	)	Group Art Unit No.: To Be
Filing Date: Herewith	)	Assigned
	)	
For: Optical Recording Medium	)	

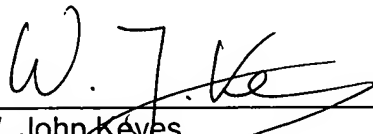
**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant has enclosed a copy of Form PTO-1449 listing the prior art considered during the prosecution of the parent application Serial No. 09/704,968. Pursuant to Rule 1.98(d)(1), Applicant requests that the Examiner consult the parent application for copies of the listed prior art.

Respectfully submitted,

  
\_\_\_\_\_  
W. John Keyes  
Registration No. 54,218  
Agent for Applicants

SONNENSCHN NATH & ROSENTHAL LLP  
P.O. Box 061080  
WACKER DRIVE STATION, SEARS TOWER  
CHICAGO, IL 60606  
(312) 876-8000

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	To Be Assigned
				Filing Date	Herewith
				First Named Inventor	Shinichiro Tamura
				Group Art Unit	To Be Assigned
Examiner Name	To Be Assigned				
Attorney Docket Number	09792909-5843				
Sheet	2	of	2		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-A-5-077 142		Y. Sakon & AI	*column 5, line 15*, column 8, line 60*, column 73, line 162; claims 1-5
		US-5262526	11-1993	Sasamoto	
		US-3951833	04-1976	Juda	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		WO99/53724	10/21/1999			<input type="checkbox"/>
		EP 0564224	10/06/1993			<input type="checkbox"/>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

		OTHER DOCUMENTS				
		Sugihara, H. et al.; Lithium Ion-Selective Electrodes Based on 1.10-Phenanthroline Derivatives, <i>Analytical Sciences</i> , October 1993, Vol. 9, Pages 593-597.				
		Case, F. et al. Substitued 1, 10-Phenanthrolines, VIII. 2-and 3-Phenyl Derivatives, <i>Journal of Organic chemistry</i> , October 1955, Vol. 20, Pages 1330-1336.				
		Dietrich-Buchecker, C.O., Direct Synthesis of Disubstituted Aromatic Polyimine Chelates, <i>Tetrahedron Letters</i> , 1982, Vol. 23 No. 50, Pages 5291-5294.				
		Dietrich-Buchecker, C.O., Interlocked Macrocyclic Ligands: A Catenand Whose Rotation of One Ring Into The Other is Precluded By Bulky Substituents, <i>Tetrahedron Letters</i> , 1986, Vol. 27, No. 20, Pages 2257-2260.				
		Littman et al., Efficient Organic Electroluminescent Device Using a Single Bipolar Carrier Transport Layer, <i>J. Appl. Phys.</i> 72 (5), September 1992, pages 1957-1966, especially pages 1958-1959.				
		Kijima et al., RGB Luminescence from Passive-Matrix Organic LED's, <i>IEEE Transactions on Electron Devices</i> , Vol. 44, No. 8, August 1997, pages 1222-1227, especially pages 1222 and 1224.				

Examiner Signature		Date Considered	
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